

UTILITY PATENT APPLICATION TRANSMITTAL

(for Noncontinuing, Nonprovisional Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. ARC9-2000-0138-US1

01/04/01

~~WORK~~ PATENT APPLICATION

Commissioner of Patents and Trademarks

ATTENTION: Assistant Commissioner

for Patents

Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional, noncontinuing patent application for:

Title: SYSTEM, METHOD AND PROGRAM PRODUCT
FOR IMPROVING BROKER'S PROFITS IN
ELECTRONIC COMMERCE

First Named Inventor or
Application Identifier: Nimrod MEGIDDO

(X) 16 pages of the specification (including claims) are enclosed.

3 sheet(s) of drawings are enclosed. Formal Informal

An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.

() The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).

(X) An Assignment(s) of the invention to:

ASSIGNEE NAME: International Business Machines Corporation

ASSIGNEE RESIDENCE: Armonk, New York

and cover sheet are enclosed.

(X) A check in the amount of \$40.00 to cover the fee for recording the assignment(s) is enclosed.

() A 37 C.F.R. §3.73(b) Statement is enclosed (where an Assignee seeks to take action in a matter before the Patent Office).

(x) An Information Disclosure Statement is enclosed.

(X) A Form PTO-1449 is enclosed.

(X) 8 References (copies) listed on the Form PTO-1449 are enclosed.

(X) A Return Receipt Postcard is enclosed (MPEP §503).

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() Priority of application number _____ filed on _____ in _____ is claimed under 35 U.S.C. §119.

() A certified copy of the priority document is enclosed.

() A MicroFiche Computer Program (Appendix) is enclosed.

() A Nucleotide and/or Amino Acid Sequence Submission is enclosed.

() A Computer Readable Copy is enclosed.

() A Paper Copy (Identical to Computer Copy) is enclosed.

() A Statement Verifying Identity of above Copies is enclosed.

(X) The filing fee is calculated below:

Fee Calculation For Claims As Filed

(a) Basic Fee \$ 710.00

(b) Independent Claims 3 - 3 = 0 x \$ 80.00 = \$ _____

(c) Total Claims 17 - 20 = 0 x \$ 18.00 = \$ _____

(d) Fee for Multiply Dependent Claims \$270.00 \$ _____

Total Filing Fee \$ 710.00

() A Statement(s) of Status as Small Entity is enclosed, reducing the Filing Fee by half to: \$ _____

(X) A check in the amount of \$ 710.00 to cover the filing fee is enclosed.

() Charge \$ _____ to Deposit Account No. 06-1135.

() The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.

() A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in duplicate.

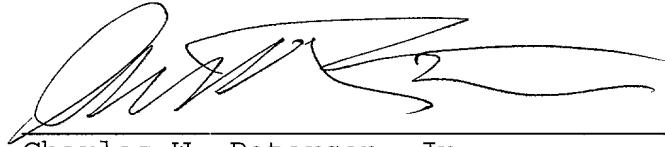
() Also enclosed:

(X) Address all future communications to Customer Number 22242.



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January 4, 2001
(Date)

A handwritten signature of Charles W. Peterson, Jr. is written in black ink.

Charles W. Peterson, Jr.
Registration No. 34,406

Noncontinuing Utility §1.53(b)-3-1299